

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JAN 31 2002  
PATENT & TRADEMARK OFFICE

In re application of

Teruhiro SHIONO et al.

Serial No. 09/988,788

Filed November 20, 2001

Confirmation No. 9136

Attn: BOX MISSING PARTS

Docket No. 2001\_1700A

OPTICAL INFORMATION RECORDING  
AND REPRODUCING APPARATUS

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated December 5, 2001, submitted herewith is the required Declaration and Power of Attorney.

Also enclosed are the PTO surcharge of \$130.00, required by 37 CFR 1.16(e), and a copy of the Notice.

Favorable action on the merits is now requested.

Respectfully submitted,

Teruhiro SHIONO et al.

By: Michael S. Huppert

Michael S. Huppert  
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Attorney for Applicants

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January 31, 2002



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Teruhiro SHIONO et al. : Confirmation No. 9136  
Serial No. 09/988,788 : Attn: BOX MISSING PARTS  
Filed November 20, 2001 : Attorney Docket No. 2001\_1700A  
OPTICAL INFORMATION RECORDING  
AND REPRODUCING APPARATUS :

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing the following attached papers:


Late filing of executed Declaration . . . . . \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Teruhiro SHIONO et al.

By   
Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

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2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
January 31, 2002

[Check No. 48645]  
2001\_1700A



Sector \$

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 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,788	11/20/2001	Teruhiro Shiono	2001_1700A

CONFIRMATION NO. 9136

 000513  
 WENDEROTH, LIND & PONACK, L.L.P.  
 2033 K STREET N. W.  
 SUITE 800  
 WASHINGTON, DC 20006-1021

## FORMALITIES LETTER



\*OC000000007159060\*

Date Mailed: 12/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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